

Fully depleted, back-illuminated CCDs for astronomy and astrophysics

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Fermi National Accelerator Laboratory Dark Energy Survey Camera (DECam)

570 Mpixel camera consisting of 74, 250 μm thick, fully depleted CCDs Teledyne DALSA/LBNL 1st light Fall 2012



- Fundamentals of CCDs and CMOS image sensors
- Scientific CCDs for astronomy
- Fully depleted CCDs fabricated on high-resistivity silicon device physics/applications/technology



Scientific CCDs vs cell phone imager

Unofficial comparison, scientific CCD versus CMOS image sensor for cell phones (e.g. iPhone 4, TSMC/OmniVision¹)

Parameter	CMOS cell phone	Scientific CCD
# pixels	5 - 8 Megapixels	8 – 16 Megapixels
Pixel size	1.4 – 1.7 μm	10 – 15 μm
Imaging area	15 mm ² (5M)	3775 mm ² (16M)
Technology	130 nm CMOS	2.5 μm CCD
Illumination	Back illumination	Back illumination
Optical thickness	~ 3 µm	10 – 250 μm
Peak QE	~ 55% (color filter)	~ 90 - 95%
Operating temp	Up to 50°C	$-100^{\circ}C140^{\circ}C$
Dark current	20 – 30 e-/pixel/sec	Few e-/pixel/hr
Read noise	~ 2 e-	~ 2-5 e-
Full well	~ 4500 e-	~ 200,000 e- (15 µm)

¹Rhodes, 2009 IISW Symp. On Backside Illumination of Solid-State Image Sensors, imagesensors.org and http://image-sensors-world.blogspot.com/2010/06/iphone-4-bsi-sensor-is-omnivisions.html



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Full well	~ 4500 e-	~ 200,000 e- (15 µm)
Cost		~



- Invented by W. Boyle and G. Smith (Bell Laboratories) on September 8th, 1969 – Awarded Nobel Prize in Physics 2009
- Tasked by Jack Morton to find a semiconductor analogy to the magnetic "bubble memory"
- The basic concepts were conceived in a discussion session between Boyle and Smith "lasting not more than an hour" ¹⁻³





- [1] G.E. Smith, "The invention and early history of the CCD," J. Appl. Phys., 109, 102421, 2011.
- [2] W.S. Boyle and G.E. Smith, "The inception of charge-coupled devices," IEEE Trans. Elec. Dev., 23, 661, 1976.
- [3] G.E. Smith, "The invention of the CCD", Nucl. Instrum. Meth. A, 471, 1, 2001.





CCD 101 – Boyle/Smith notebook entry

- Collection and storage of charge in MOS capacitor depletion regions
 - Dashed line denotes edge of depletion region
- Charge transferred via clocking of closely spaced electrodes



3-phase CCD diagram (lab notebook drawing Sept. 1969)



2D simulation of charge shift in CCD

Potential at 0.5um depth Time=701ns









CCD 101 – Triple poly process

Scientific CCDs typically use the same 3-phase clocking as in the original Boyle and Smith concept with overlapping polysilicon gate electrodes (triple poly)





UC-Berkeley connections to CCD development

IEEE Trans. Elec. Dev., 21, 712, 1974

Charge-Coupled Area Image Sensor Using Three Levels of Polysilicon

CARLO H. SÉQUIN, MEMBER, IEEE, FRANCIS J. MORRIS, SENTOR MEMBER, IEEE, THEODORE A. SHANKOFF, MICHAEL F. TOMPSETT, MEMBER, IEEE, AND EDWARD J. ZIMANY, Jr.

Abstract-Charge-coupled area image sensors with 220 by 256 cells have been built using a three-phase overlapping electrode structure. Each of the three sets of electrodes is formed in a separate level of polysilion which are isolated from each other by a thermally grown oxide. This approach relaxes the demands on mask making and photolithography that would otherwise be necessary and reduces the incidents of fatal shorts that render devices inoperable. The overlapping electrode structure results in stable performance and good trapsfer efficiency. The semitransparent polysilicon electrodes make the device usable with circuit side illumination although the spectral response is not very uniform. Average quantum efficiency in the visible part of the spectrum is 0.25. Measured resolution limits

Manuscript received May 16, 1974. C. H. Séquin, T. A. Shankof, M. F. Tompsett, and E. J. Zimany are with Bell Laboratories, Marray Hill, N. J. 07974.

F. J. Morris is with Texas Instruments, Inc., Dallas, Tex. 75222.

are 110 line pairs horizontally and 100 pairs vertically in accordance with present day PICTUREPHONE* specifications.

INTRODUCTION

COLID-STATE image sensors using the charge-coupled D principle [1] were first constructed using a single level of metallization [2],[3]. In these devices a frame transfer organization [4] has been employed, in which the image is integrated in a separate imaging section and then, to prevent optical smearing, is quickly shifted in a parallel process along vertical columns into a storage area

* Registered service mark of American Telephone and Telegraph Company.

Carlo Sequin, UC-Berkeley Professor of Computer Science since 1977



Abstract—Charge-coupled area image sensors with 220 by 256 cells have been built using a three-phase overlapping electrode structure. Each of the three sets of electrodes is formed in a separate level of polysilicon which are isolated from each other by a thermally grown oxide. This approach relaxes the demands on mask making and photolithography that would otherwise be necessary and reduces the incidents of fatal shorts that render devices inoperable. The overlapping electrode structure results in stable performance and good transfer efficiency. The semitransparent polysilicon electrodes make the device usable with circuit side illumination although the spectral response is not very uniform. Average quantum efficiency in the visible part of the spectrum is 0.25. Measured resolution limits

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For maximum quantum efficiency scientific CCDs are back illuminated



Front vs Back illumination – CCDs

Front illumination: Quantum efficiency loss from

- Absorption in polysilicon gates
- Reflections from complicated thin film stack



Back illumination (thinned CCDs):

- Remove p+ substrate
- Limited depletion depth for typical resistivity silicon implies significant thinning $(10 - 20 \ \mu m)$ for scientific CCDs, ~ 3 μm for CMOS image sensors)

Front vs Back illumination – CCDs



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CCD vs CMOS image sensor

- CCDs: Shifting of charge vertically and horizontally to a source follower amplifier that converts charge to voltage
- CMOS image sensors have an SF amplifier in each pixel eliminating the need for high charge-transfer efficiency







A. Theuwissen, IEEE Solid-State Circuits Magazine, 22, Spring 2010



CCD 101 – CMOS image sensor

- CMOS image sensors incorporate pinned photodiodes¹ to suppress surface dark current and floating diffusion amplifiers
 - kTC noise suppression Borrowed from CCDs



FIGURE 6: PPD CMOS pixel based on an in-pixel amplifier in combination with a PPD. RST, RS, and TX are respectively the reset, row select, and transfer transistors.

A. Theuwissen, IEEE Solid-State Circuits Magazine, 22, Spring 2010



Fig. 4. Charge distribution and energy band diagram of the PPD. Signal charge excited by the incoming light can be accumulated in a potential well. $C_{12} \propto (x_{p_12} + x_{n_12})^{-1/2}$, $C_{23} \propto (x_{n_23} + x_{p_23})^{-1/2}$.

Takayanagi and Nakamura, to appear in IEEE Proceedings

¹N. Teranishi et al, IEEE Trans. Elec. Dev., **31**, 1829, 1984



Front vs back illumination – CMOS

• CMOS image sensors with small pixels need back illumination simply to get the light into the pixel



Fig. 3. SEM cross-section of 2.2 μm pixel with lightpipe and Cu wiring

IBM front illuminated CMOS image sensor 2.2 μm pixel 2006 IDEM (Gambino et al)



Figure 22.9.2: 1.65µm pixel cross-section.

Sony back illuminated CMOS image sensor 1.65 µm pixel 2010 ISSCC (Wakabayashi et al)



- Fundamentals of CCDs and CMOS image sensors
- Scientific CCDs for astronomy
- Fully depleted CCDs fabricated on high-resistivity silicon device physics/applications/technology



• Scientific charge-coupled devices are the detector of choice for astronomy applications in the UV, visible and near-infrared wavelengths

 $\lambda \sim 350$ nm to about 1.1 µm (atmospheric cutoff to Si bandgap)

- Back illuminated for high quantum efficiency > 90% peak
- Slow readout for low noise
 < 5 e- typically at 100 kpixels/sec readout</p>



• Scientific charge-coupled devices (cont')

-Cryogenically cooled for low dark current Few electrons/pixel-hour at -100 to -140°C

—Large format with large pixels $10 - 15 \mu m$ pixels, 4k x 4k and larger

—Very \$\$\$

Examples of astronomy cameras follow



CCD cameras for astronomy





SDSS Photometric Camera – 30 2k x 2k, (24 µm)²-pixel CCDs Sloan Digital Sky Survey Telescope / 2000 – 2008

Thinned (~ $10 - 20 \mu m$ thick), partially depleted CCDs from SITe

CCD cameras for astronomy



rrrrr

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MegaCam – 36 2k x 4k, (15 µm)²-pixel CCDs *Canada-France-Hawaii Telescope / 2003* OmegaCAM – 32 2k x 4k, (15 μm)²-pixel CCDs ESO VLT Survey Telescope (VST) 1st light June 2011

Thinned (~ $10 - 20 \mu m$ thick), partially depleted CCDs from e2V

CCD cameras for astronomy (cont')



rrrr



SuprimeCam – 8 2k x 4k, (15 μm)²-pixel CCDs PS1 camera – 60 4.8k x 4.8k, (10 μm)²-pixel CCDs Subaru 8-m Telescope (1998) Pan-STARRS telescope (2010)

~ 40 μm thick, partially depleted and ~ 75 μm thick, fully depleted CCDs (deep depletion CCDs)

MIT Lincoln Laboratory

BERKELEY LAB

CCD cameras for astronomy (cont')





SuprimeCam – 10 2k x 4k, (15 μm)²-pixel CCDs Subaru 8-m Telescope (2008)

HyperSuprimeCam – 116 2k x 4k, (15 μm)²-pixel CCDs Subaru 8-m Telescope 1st light achieved 28Aug2012

 $\sim 200~\mu m$ thick, fully depleted CCDs

Hamamatsu Corporation







Dark Energy Survey Camera (DECam) – 62 2k x 4k, (15 μm)²-pixel CCDs NOAO Cerro Tololo Blanco 4-m Telescope (Fall 2012)

250 µm thick, fully depleted CCDs (DALSA/LBNL)





Artist's rendering – Cerro Tololo Inter-American Observatory V. M. Blanco 4-m telescope (Chile)

















DECam's imager is visible for the last time (blue, left of center) before it is inserted into the instrument, meeting the optical corrector for the first time. Image credit: T. Abbott CTIO/NOAO/AURA.



DES Collaboration





- Fundamentals of CCDs and CMOS image sensors
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- Fully depleted CCDs fabricated on high-resistivity silicon **device physics**/applications/technology



Fully depleted, back-illuminated CCD



- 1) Concept: Fabricate a conventional CCD on a thick, high-resistivity Si substrate (> 4 k Ω -cm) 200-250 μ m typical
- Use a substrate bias voltage to fully deplete the substrate of mobile charge carriers
 Merging of p-i-n and CCD technology
 High-ρ Si allows for low depletion voltages
 <u>Float-zone refined silicon</u>



High-resistivity silicon 101

Standard silicon is produced by the Czochralsky method:

The ingot is pulled from molten silicon starting from a seed crystal

The crucible is lined with quartz, which results in oxygen incorporation into the silicon

Oxygen donors limit the resistivity to about 50 Ω -cm



Fig. 3. Single crystal growth by the Czochralsky technique.

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Nuclear Instruments and Methods in Physics Research 226 (1984) 94–102 North-Holland, Amsterdam

THE PRODUCTION AND AVAILABILITY OF HIGH RESISTIVITY SILICON FOR DETECTOR APPLICATION

Wilfried von AMMON and Heinz HERZER



High-resistivity silicon 101

High-resistivity silicon is produced by float-zone refining:

The ingot is locally melted by an RF heating coil that surrounds the ingot

Most impurities tend to segregate into the liquid phase

Repeated passes along the ingot drives the impurities to one end of the ingot

10 k Ω -cm corresponds to N_D ~ 4.3 x 10¹¹ cm⁻³ Equivalent to purity level of 1 part in 10¹¹

Depletion voltage is proportional to N_D



W. Von Ammon and H. Herzer, "The production and availability of high-resistivity silicon for detector application," Nucl. Instrum. Meth., **A226**, pp. 94-102, 1984



Fully depleted, back-illuminated CCD



- Concept: Fabricate a conventional CCD on a thick, high-resistivity Si substrate (> 4 kΩ-cm) 200-250 µm typical, 500 µm in special cases
- Use a substrate bias voltage to fully deplete the substrate of mobile charge carriers
 Merging of p-i-n and CCD technology
 High-p Si allows for low depletion voltages
 Float-zone refined silicon
 - The large thickness results in high near-infrared quantum efficiency and greatly reduced fringing



Fully depleted, back-illuminated CCD



Photon Energy
$$(eV) = \frac{1.24}{\lambda(\mu m)}$$

The wavelength cut-off in silicon due to the bandgap (~ 1.1 eV) is about $1.1 \mu \text{m}$

Following plot includes the silicon absorption length that is defined as the inverse of the absorption coefficient α

$$I(x) = I_o \exp[-\alpha x]$$

Intensity of incident light



Quantum Efficiency Measurements





Quantum efficiency

Quantum Efficiency Measurements



Visible range is 400 - 700 nm



Fully depleted, back-illuminated CCD



- Concept: Fabricate a conventional CCD on a thick, high-resistivity silicon substrate 200-250 µm typical, 500 µm in special cases
- Use a substrate bias voltage to fully deplete the substrate of mobile charge carriers
 Merging of p-i-n and CCD technology
 High-p Si allows for low depletion voltages
 Float-zone refined silicon
- 3) The thickness results in high near-infrared quantum efficiency and greatly reduced fringing
- 4) The fully depleted operation results in the ability to control the spatial resolution via the thickness and the substrate bias voltage




Note: Cross-section is not to scale

2D simulation – vertical field lines on right at pixel pitch of 10.5 μ m



Spatial resolution: Effect of substrate voltage



Each square represents 1 pixel

At low substrate bias voltages the CCD is not fully depleted

The PSF is dominated by diffusion in the undepleted silicon Can be shown that $\sigma \sim$ the field-free region thickness

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Spatial resolution: Effect of substrate voltage



Each square represents 1 pixel

At 20V the CCD corresponding to the data is just fully depleted

The PSF is limited by the transit time of the photogenerated holes

$$\sigma = \sqrt{2Dt_{tr}}$$

Spatial resolution: Effect of substrate voltage



Each square represents 1 pixel

The PSF continues to improve (but slowly) as V_{SUB} in increased

At V_{SUB} =115V the rms diffusion for this 200 µm thick, 10.5 µm pixel CCD is 3.7 ± 0.2 µm

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Fully depleted, back-illuminated CCD

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can operate over a wide range of substrate bias voltages.



Drawbacks of thick, fully depleted CCDs





2) Degradation of spatial resolution at long wavelengths in fast optical systems where the light is incident at large angles



30 minute dark200 μm thick CCDSmall sub-image



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Why is near-infrared response important? Light from distant astronomical objects is shifted to longer wavelengths due to the expansion of the Universe





Why is near-infrared response important? Light from distant astronomical objects is shifted to longer wavelengths due to the expansion of the Universe







CCD cameras for astronomy (cont')

One of the most distant galaxies ever observed, z ~ 7.3

Shibuya et al, Astrophysical Journal, 752, 11, 2012 and Subaru telescope news release http://www.naoj.org/Pressrelease/2012/06/03/index.html



Color composite image of the Subaru XMM-Newton Deep Survey Field. Right panel: The red galaxy at the center of the image is the most distant galaxy, SXDF-NB1006-2. Left panels: Close-ups of the most distant galaxy. (Credit: NAOJ)



SuprimeCam – 10 2k x 4k, (15 μm)² pixel CCDs Subaru 8-m Telescope (2008)

> ~ 200 μm thick, fully depleted CCDs Fully depleted with substrate bias voltage Hamamatsu Corporation



Importance of near-IR response

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THE FIRST SYSTEMATIC SURVEY FOR Ly α EMITTERS AT z = 7.3 WITH RED-SENSITIVE SUBARU/SUPRIME-CAM*

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Red shift ~ 7.3 (12.91 billion light years from Earth) ~ 14 hr exposure (30 minutes/exposure)

Subaru Suprime-CAM CCD quantum efficiency 200 µm thick fully depleted CCDs



Figure 1. Filter transmission curves of the Suprime-Cam BB and NB filters and the new NB1006 filter are shown with corresponding labels. The spiky profile at the bottom represents the OH airglow lines. The OH airglow lines are not strong, though not completely absent, at wavelength in the NB1006 filter. The long dashed curve at the top shows the atmospheric transmission. The short dashed and thick solid curves show the quantum efficiency of the previous MIT/LL CCDs and the new fully depleted CCDs, respectively.



Importance of near-IR response

The Dark Energy Survey Camera will have significantly improved detection ability for high red-shift supernova studies

Expect to detect ~ 4000 SN out to z ~ 1.2 and observe over 300 million galaxies





Figure 2. Comparison of the SNLS (Regnault et al. 2009) and DECam total transmission (H. Lin 2011, private communication) for an air mass of 1.3. Also shown is the CCD quantum efficiency (QE). The total transmission includes the effects of QE, the atmosphere, and the optical systems of the relevant cameras. Note the increased DES sensitivity at redder wavelengths. The DECam transmission is based on measurements of the full-size filters, which was not available during the simulations performed for this analysis. The assumed transmission in this paper is about 10% smaller than the measured values.

THE ASTROPHYSICAL JOURNAL, 753:152 (25pp), 2012 July 10 © 2012. The American Astronomical Society. All rights reserved. Printed in the U.S.A. doi:10.1088/0004-637X/753/2/152

SUPERNOVA SIMULATIONS AND STRATEGIES FOR THE DARK ENERGY SURVEY

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Near-IR spectroscopy (high-red shift quasar)

The Astrophysical Journal, 736:57 (8pp), 2011 July 20



Figure 4. Spectrum of SDSS J222843.54+011032.2 obtained in 2010 June using LRIS on the Keck 1 telescope. The spectrum has been binned using inverse sky-variance weighting to reduce the sky noise. Only the two best out of the total four exposures were used to make this optimal spectrum. The redshift, z = 5.95, was calculated using the peak of the identified Ly α emission line. The expected locations of other typical emission lines are labeled with a vertical dotted line.

Keck 10-m Low Resolution Imaging Spectrograph Two 2048 x 4096, (15 μm)²-pixel CCDS (DALSA/LBNL) ZEIMANN ET AL.



- Baryon Oscillation Spectroscopic Survey (SDSS-III)
 - —5 year goal is to measure spectra and red-shift of 1.5 million galaxies ($z \sim 0.4 0.7$) and 160k quasars ($z \sim 2.2 3$)
 - —Fiber-fed, multi-object spectroscopy (1000 at a time)
 - Aluminum plates with precise holes to match galaxies
 - —Precision measurement of galaxy clustering due to sound waves in the early universe (cosmic ruler ~ 500 light years)







David Schlegel, BOSS PI



Galaxy spectrum from BOSS DR9 data release DR9





- Two 4k x 4k LBNL CCDs in red spectrograph (mid 2009)
- 6 cm x 6 cm imaging area needed for BOSS throughput





Spectra courtesy of SDSS-III project

Sky background in blue

1st scientific papers 260k galaxies Spring 2012



- Fundamentals of CCDs and CMOS image sensors
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- Fully depleted CCDs fabricated on high-resistivity silicon device physics/applications/technology
 —Important contributions from the Microlab



1st high-p CCDs fabricated at LBNL

200 x 200, $(15 \ \mu m)^2$ pixel, 300 μm thick, fully depleted CCDs on 100 mm diameter, ~ 10,000 Ω -cm silicon



1st image, Lick Observatory CCD Lab May 1996



100 mm diameter, high-resistivity Si wafer



LBNL fully depleted CCD development

Initially all fabrication steps were done in the LBNL MicroSystems Laboratory Class 10 cleanroom except

- Ion implantation (Bay Area vendors)
- Polysilicon etching (<u>UC-Berkeley Microlab Lam4</u>) Critical step given the need for substantial overetch with high selectivity to gate nitride layer



LBNL fully depleted CCD development

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100 mm diameter, high-resistivity Si wafer

The exposure area of the GCA step and repeat lithography tool was too small for the large format CCDs required for astronomy and astrophysics



LBNL fully depleted CCD development

2k x 2k 15 µm



Donation of Perkin Elmer Micralign from Intel to LBNL via UC-Berkeley Microlab facilitated by Bob Hamilton allows for large format CCDs to be produced by 1x projection lithography

100 mm wafers



1294 x 4186 1478 x 4784 2k x 4k 10.5 µm 15 µm 12 µm



Majority of CCD processing at Teledyne DALSA
 —8 of the 11 photomasking steps done at DALSA
 —Take advantage of foundry efficiency, high yield









2.5 μm CCD technology with scanner lithography for large-area CCD fabrication

150 mm diameter wafers





- 3 wafers completed at DALSA for Q/C, 21 to LBNL —Thinned at commercial vendors (backgrind/CMP)
 - 200 250 μ m typically, recent work at 500 μ m

—Processed to completion at LBNL



MicroSystems Laboratory Class 10 clean room





- LBNL processing
 - —Thin backside contact (in-situ doped polysilicon)
 - ---Contact/metal mask
 - —Backside anti-reflection coatings



MicroSystems Laboratory Class 10 clean room





• LBNL processing

—Key point – Back illuminated processing at the wafer level and in batch mode



MicroSystems Laboratory Class 10 clean room





DES camera – CCD fabrication summary



- 7 lots fabricated at DALSA/LBNL (21 wafers/lot)
- 124 science-grade CCDs produced
 - Overall yield for the 7 lots was ~ 21%
- Yield improvements resulted in 58 science-grade CCDs produced from the final 2 production lots
 ~ 35% yield final 2 production lots



2k x 4k CCD in FermiLab 4-side buttable package *Image courtesy of T. Diehl (FNAL)*

CCDs selected for the camera had on average less than one bad column per CCD
Percent bad pixels 0.014%

> Packaging and final testing at FNAL CCD flatness better than 10 µm Focal plane better than 60 µm



Current LBNL CCD efforts on 150 mm diameter wafers with DALSA Semiconductor





Dark Energy Survey camera wafer (FNAL)

124 2k x 4k CCDs produced for DECam

Keck LRIS



4k x 4k wafer

R

BOSS spectrograph since 2009 and NOAO KOSMOS/COSMOS instruments (coming soon)

R&D wafer (lower noise, faster readout, direct detection of x-rays)



Current LBNL CCD efforts on 150 mm diameter wafers with DALSA Semiconductor

• LBNL Engineering Group – 200 fps CCDs for direct detection of low-energy x-rays



Amplifiers every 10 columns, metal strapping of poly, and custom IC readout



Acknowledgements – LBNL CCD staff

MSL Co Tran, Guobin Wang, Nick Palaio, Steve Holland

Testing Armin Karcher, Sufia Haque, Bill Kolbe, Julie Lee

Probing / Packaging John Emes









Plus Chris Bebek (group leader), Natalie Roe (former group leader), and Don Groom



Thank you for your attention